PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/664,473 TRANSMITTAL Filing Date 9/17/2003 **FORM** First Named Inventor Carey E. Garibay Art Unit (to be used for all correspondence after initial filing) 3621 **Examiner Name** Attorney Docket Number 3 **BEAS-01454US7** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Drawing(s) to Technology Center (TC) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC 1 Petition (Appeal Notice, Brief, Reply Brief) **Preliminary Amendment** Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Extension of Time Request Identify below): Post Card Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Joseph P. O'Malley Fliesler Meyer LLP Individual name Signature Date Reg. No.: 36,226 February 19, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s):

Garibay, et al.

Appln. No.: Confirm. No.: 4342

10/664,473

Filed:

September 17, 2001

Title:

SELF-SERVICE CUSTOMER LICENSE

MANAGEMENT APPLICATION ALLOWING SOFTWARE VERSION UPGRADE AND

DOWNGRADE

PATENT APPLICATION

Art Unit: 3621

Examiner:

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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22313-1450, on February 19, 200-

Teri Muir

Signature Date: February 19, 2004

PRELIMINARY AMENDMENT

Art Unit 3621 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Before examining the subject application, under Rule 37 C.F.R. 1.78 (5)(i) and 1.78(5)(ii); this amendment is being submitted within the sixteen months from the filing date of the prior filed provisional applications and we respectfully request that the application be amended as follows.